

# Eleven-Year Summary and Key Financial Data

Sumitomo Heavy Industries, Ltd. and Consolidated Subsidiaries

(Unit)		FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022 <sup>*8</sup>	FY2022 (Jan. to Dec.) Reference values <sup>*9</sup>	FY2023	FY2024
<b>Summary of Income</b> (for the financial year)	(million yen) <b>Net sales</b>	667,099	700,838	674,328	791,025	903,051	864,490	849,065	943,979	854,093	1,018,261	1,081,533	<b>1,071,126</b>
	<b>R&amp;D expenses</b>	11,415	12,299	11,276	14,805	16,836	18,753	19,434	20,181	17,431	—	24,800	<b>33,682</b>
	<b>Operating profit</b>	45,998	50,568	48,431	69,921	75,244	56,806	51,342	65,678	44,803	59,950	74,367	<b>55,103</b>
	<b>EBITDA<sup>*1</sup></b>	62,279	70,289	68,742	92,925	101,219	84,758	81,089	96,609	74,437	—	110,741	<b>92,480</b>
	<b>Ordinary profit</b>	45,113	49,131	48,274	67,466	72,623	52,642	49,544	64,847	43,253	58,837	70,250	<b>49,184</b>
	<b>Profit attributable to owners of parent</b>	24,348	33,133	33,613	34,660	45,650	32,807	26,764	44,053	5,782	16,259	32,742	<b>7,721</b>
<b>Cash Flows</b> (for the financial year)	(million yen) <b>Cash flows from operating activities</b>	62,170	18,315	38,158	71,111	55,173	36,263	64,131	61,679	21,366	—	65,370	<b>12,763</b>
	<b>Cash flows from investing activities</b>	(14,112)	(15,350)	(25,852)	(37,810)	(54,973)	(57,752)	(43,729)	(49,678)	(37,279)	—	(43,271)	<b>(49,482)</b>
	<b>Free cash flows<sup>*2</sup></b>	48,058	2,965	12,306	33,301	199	(21,489)	20,402	12,000	(15,913)	—	22,099	<b>(36,719)</b>
	<b>Cash flows from financing activities</b>	(36,889)	(23,789)	(17,809)	(10,146)	(13,314)	35,964	(7,959)	(28,106)	21,677	—	(17,207)	<b>41,908</b>
	<b>Cash and cash equivalents at the end of the period</b>	90,324	68,625	61,017	85,503	69,776	83,630	96,242	84,992	93,727	—	100,235	<b>107,542</b>
<b>Financial Position</b> (at financial year-end)	(million yen) <b>Total assets</b>	786,027	782,859	796,484	894,835	954,051	996,111	1,030,684	1,094,930	1,148,870	—	1,200,857	<b>1,260,242</b>
	<b>Interest-bearing debt</b>	83,644	68,232	60,460	64,181	73,311	124,669	124,439	111,251	160,765	—	162,230	<b>238,621</b>
	<b>Net interest-bearing debt<sup>*3</sup></b>	(8,779)	(2,572)	(3,360)	(24,052)	(278)	37,602	24,921	22,468	63,289	—	57,772	<b>127,488</b>
	<b>Total net assets</b>	365,101	382,817	409,171	444,964	465,001	477,648	504,928	566,843	576,922	—	627,464	<b>646,418</b>
<b>Amounts per Share of Common Stock<sup>*4</sup></b>	(yen) <b>Earnings<sup>*5</sup></b>	39.71	54.06	54.85	282.83	372.56	267.77	218.46	359.61	47.20	—	267.30	<b>63.86</b>
	<b>Net assets</b>	587.37	614.51	650.47	3,517.33	3,701.01	3,790.99	4,005.43	4,501.11	4,647.20	—	5,059.88	<b>5,331.01</b>
	<b>Cash dividends</b>	12.00	16.00	16.00	85.00	112.00	91.00	65.00	115.00	90.00	—	120.00	<b>125.00</b>
<b>Financial Indexes (%)</b>	<b>Operating profit ratio</b>	6.9	7.2	7.2	8.8	8.3	6.6	6.0	7.0	5.2	5.9	6.9	<b>5.1</b>
	<b>EBITDA ratio</b>	9.3	10.0	10.2	11.7	11.2	9.8	9.6	10.2	8.7	—	10.2	<b>8.6</b>
	<b>R&amp;D expenses ratio to net sales</b>	1.7	1.8	1.7	1.9	1.9	2.2	2.3	2.1	2.0	—	2.3	<b>3.1</b>
	<b>Return on assets (ROA)</b>	3.1	4.2	4.2	3.9	4.8	3.3	2.6	4.0	0.5	1.4	2.7	<b>0.6</b>
	<b>Return on equity (ROE)</b>	7.1	9.0	8.7	8.4	10.3	7.1	5.6	8.5	1.0	3.0	5.5	<b>1.2</b>
	<b>Stockholders' equity ratio</b>	45.8	48.1	50.0	48.2	47.5	46.6	47.6	50.4	49.5	—	51.6	<b>50.8</b>
	<b>Interest-bearing debt ratio</b>	10.6	8.7	7.6	7.2	7.7	12.5	12.1	10.2	14.0	—	13.5	<b>18.9</b>
	<b>D/E ratio (times)</b>	0.2	0.2	0.2	0.1	0.2	0.3	0.3	0.2	0.3	—	0.3	<b>0.4</b>
	<b>ROIC<sup>*6</sup></b>	6.5	7.6	7.3	10.3	10.5	7.3	6.1	7.3	4.6	6.2	7.0	<b>4.8</b>
<b>Investment in Plant and Equipment and Others</b>	(million yen) <b>Capital expenditures<sup>*7</sup></b>	20,670	23,721	27,539	30,432	33,713	39,435	39,885	46,729	44,629	—	42,502	<b>46,784</b>
	<b>Depreciation</b>	16,281	19,720	20,311	23,003	25,975	27,953	29,746	30,930	29,634	—	36,374	<b>37,377</b>

\*1. EBITDA (Earnings before Interest, Taxes, Depreciation) = Operating profit + Depreciation

\*2. Free cash flows = Cash flows from operating activities + Cash flows from investing activities

\*3. Net interest-bearing debt = Interest-bearing debt - (Cash and deposits + Securities)

\*4. The Company carried out a 5-to-1 reverse stock split for its common stock with an effective date of October 1, 2017. Amounts per share of common stock was calculated, under the assumption that such share consolidation was conducted at the beginning of FY2017.

\*5. Earnings per share of common stock are based on the weighted average number of shares outstanding in each year.

\*6. ROIC (Return on invested capital) =  $\frac{(\text{Operating profit} + \text{Interest and dividend income}) \times (1 - \text{Effective tax rate}^*)}{(\text{FY average of stockholders' equity} + \text{FY average of interest-bearing debt})}$ 

\* Effective tax rate = 45% until FY2013, 40% for FY2014, 35% for FY2015 and FY2016, 31% from FY2017

\*7. Capital expenditures are capitalized and recorded as assets.

\*8. Due to a change in our accounting period, FY2022 will be a nine-month accounting period from April 2022 to December 2022.

\*9. To compare our FY2022 results to past accounting periods, the FY2022 (nine-month accounting period) results have been converted to figures for the period from January to December.

# Non-Financial Key Data

**Supplementary explanation regarding the period covered:** Although the accounting period for FY2022 is the nine-month period from April to December, the 12-month period from January to December is included for the purpose of ensuring comparability.

**Supplemental explanation of coverage:** The consolidated coverage rate for “non-consolidated” in the social data is approximately 15.8%, while that for “domestic consolidated” is approximately 50.9% (both calculated based on FY2024 results and number of employees).

## Environment

### Addressing Climate Change

		Unit	2020	2021	2022	2023	2024
GHG Emissions (Scope 1)	Total	t-CO <sub>2</sub>	36,572	37,418	38,419	36,272	31,601
	Consolidated Coverage	%	85.9	92.6	91.1	92.3	99.9
GHG Emissions (Scope 2)	Total <sup>*1</sup>	t-CO <sub>2</sub>	144,092	156,518	151,681	120,631	101,003
	Consolidated Coverage	%	85.9	92.6	91.1	92.3	99.9
GHG Emissions (Scope 1, 2 total)	Total <sup>*1</sup>	t-CO <sub>2</sub>	180,664	193,936	190,100	156,903	132,604
	Consolidated Coverage	%	85.9	92.6	91.1	92.3	99.9
GHG Emissions (Scope 3)	Cat-01 Raw Materials <sup>*2</sup>	t-CO <sub>2</sub>	356,805	351,365	406,638	1,095,515	1,295,085
	Cat-02 Capital Goods	t-CO <sub>2</sub>	128,805	154,343	147,464	140,793	151,381
	Cat-03 Energy Usage	t-CO <sub>2</sub>	20,280	21,349	26,955	26,712	26,158
	Cat-04 Logistics (upstream)	t-CO <sub>2</sub>	11,046	11,082	11,045	10,160	8,899
	Cat-05 Waste Treatment	t-CO <sub>2</sub>	5,767	5,578	5,355	5,405	5,030
	Cat-06 Business Trips	t-CO <sub>2</sub>	2,171	3,761	3,277	3,289	3,294
	Cat-07 Employee Commuting	t-CO <sub>2</sub>	6,179	11,138	11,322	12,264	10,210
	Cat-08 Lease Asset (upstream)	t-CO <sub>2</sub>	0	0	0	0	0
	Cat-09 Logistics (downstream)	t-CO <sub>2</sub>	0	0	—	—	—
	Cat-10 Processing of Products to Be Sold	t-CO <sub>2</sub>	0	0	—	—	—
	Cat-11 Use of Sold Products	t-CO <sub>2</sub>	123,029,056	136,614,107	79,946,933	75,463,187	65,887,134
	Cat-12 Disposal of Unsold Products	t-CO <sub>2</sub>	0	0	—	—	—
	Cat-13 Lease asset (downstream)	t-CO <sub>2</sub>	0	0	—	—	—
	Cat-14 Franchise	t-CO <sub>2</sub>	—	—	—	—	—
	Cat-15 Investment	t-CO <sub>2</sub>	2,060	2,422	2,873	3,780	3,048
	Total	t-CO <sub>2</sub>	123,562,169	137,175,145	80,561,862	76,761,104	67,390,239
	Cat-11 Consolidated Coverage	%	96.8	96.5	94.6	94.6	91.8
	Results	t-CO <sub>2</sub> / million yen	0.21	0.21	0.22	0.15	0.12
	Consolidated Coverage	%	85.9	92.6	91.1	92.3	99.9
CO <sub>2</sub> Emission Intensity	Fuel Consumption	MWh	187,335	192,766	196,579	174,657	160,538
	Electricity Consumption	MWh	286,913	303,676	307,192	309,077	302,547
Energy Consumption	Water Chilling/Heating	MWh	9,307	10,249	9,306	10,686	11,407
	Total	MWh	483,555	506,691	513,077	494,421	474,493
	Consolidated Coverage	%	85.9	92.6	91.1	92.3	99.9
Renewable Energy Consumption	Total	MWh	1,230	1,298	14,203	72,617	90,963
	Consolidated Coverage	%	85.9	92.6	91.1	92.3	99.9

<sup>\*1</sup> Emissions data for Japan included in Scope 2 is calculated on a market basis   <sup>\*2</sup> The scope of calculation was expanded from FY2023

### Environmental Management

		Unit	2020	2021	2022	2023	2024
ISO 14001 Certification Status	Number of Certifications Acquired	Instances	58	58	57	61	62
	Acquisition Rate	%	80.6	79.4	75.2	79.8	86.1
Serious Violations of Environmental Laws and Regulations <sup>*3</sup>	Number of Cases	Instances	0	0	0	0	0
	Of which, number of violations related to wastewater	Instances	0	0	0	0	0
	Consolidated Coverage	%	80.6	79.4	75.2	79.8	86.1

<sup>\*3</sup> Cases with fines and penalties of US\$10,000 or higher

### Water Resources Preservation

		Unit	2020	2021	2022	2023	2024
Water Consumption	Municipal Tap Water	thousand m <sup>3</sup>	725	737	736	698	718
	Water Supply Industrial Water	thousand m <sup>3</sup>	610	618	613	604	615
	Fresh Water	thousand m <sup>3</sup>	0	0	0	0	0
	Ground Water	thousand m <sup>3</sup>	163	185	161	135	195
	Total	thousand m <sup>3</sup>	1,498	1,541	1,510	1,436	1,529
	Consolidated Coverage	%	77.1	76.5	80.2	82.0	78.3
Wastewater Discharge	Total	thousand m <sup>3</sup>	478	460	434	934	970
	Consolidated Coverage	%	51.6	47.5	43.6	49.3	78.3
	Surface water	thousand m <sup>3</sup>	—	—	—	—	112.0
	Groundwater	thousand m <sup>3</sup>	—	—	—	—	0.0
	Seawater	thousand m <sup>3</sup>	—	—	—	—	690.0
	Water discharged to other organizations	thousand m <sup>3</sup>	—	—	—	—	168.0
	Target		—	—	—	—	Domestic production sites

### Pollution Prevention and Waste Management

		Unit	2020	2021	2022	2023	2024
Volume of Waste Disposed	Waste Generation	t	60,866	62,591	58,403	56,615	47,812
	Final Disposal Volume	t	1,235	1,294	1,004	1,106	881
	Consolidated Coverage	%	85.5	84.2	84.5	85.9	82.1
	Recycled Volume (Total Amount of Recovered and Reused Waste, etc.)	t	10,191	10,694	9,667	9,977	9,097
	Breakdown of domestic						
	Amount of Waste Incinerated Followed by Energy Recovery	t	2,497	2,702	2,506	2,335	2,041
	Amount of Waste Incinerated Not Followed by Energy Recovery	t	290	224	386	343	339
Amount of Non-disposed Waste	Recycled Volume	t	18,777	15,272	14,809	16,354	12,978
	Data coverage rate	%	85.5	84.2	84.5	85.9	82.1
Volume of Hazardous Waste Disposed	Waste Generation	t	818	708	693	650	737
	Amount of Waste Incinerated Followed by Energy Recovery	t	235	222	209	145	163
	Amount of Waste Incinerated Not Followed by Energy Recovery	t	168	118	46	30	98
	Target		SHI Group (Japan)				Domestic production sites
Volume of Volatile Organic Compounds (VOC)	Total	t	640	623	636	544	703
	Consolidated Coverage	%	88.6	86.0	87.2	86.6	84.3
	Breakdown of domestic						
	Toluene	t	99	100	91	69	63
	Xylene	t	262	258	280	211	251
	Ethylbenzene	t	158	149	154	163	247
Volume of NOx Emissions	Total	t	4.1	3.3	3.9	2.5	15.9
	Consolidated Coverage	%	46.9	45.3	43.8	49.3	47.4
Volume of SOx Emissions	Total	t	0.50	0.18	0.15	0.15	1.49
	Consolidated Coverage	%	46.9	45.3	43.8	49.3	47.4
Discharge into Water Bodies	COD	t	1.6	1.5	1.5	1.4	4.8
	T-N	t	6.6	6.2	5.6	4.9	4.6
	T-P	t	0.5	0.5	0.5	0.4	0.4
	Total	t	8.7	8.1	7.6	6.6	9.8
	Consolidated Coverage	%	51.6	47.5	43.6	49.3	47.4

## Social

### Employee Data

		Unit	2020	2021	2022	2023	2024
Employees (consolidated)	Male	Number of employees	—	—	20,138	21,256	21,152
	Female	Number of employees	—	—	4,120	4,228	4,185
	Ratio of women	%	—	—	17.0	16.6	16.5
	Total	Number of employees	24,050	24,584	24,258	25,484	25,337
Employees (SHI)	Male	Number of employees	2,960	2,965	3,350	3,497	3,774
	Female	Number of employees	396	405	499	536	636
	Ratio of women	%	11.8	12.0	13.0	13.3	14.4
	Total	Number of employees	3,356	3,370	3,849	4,033	4,410
Managers	Male	Number of employees	904	916	3,290	3,355	3,504
	Female	Number of employees	17	22	220	247	251
	Ratio of women	%	1.8	2.3	6.3	6.9	6.7
	Total	Number of employees	921	938	3,510	3,602	3,755
	Target		Non-consolidated		Consolidated		Consolidated
Assistant Managers	Male	Number of employees	—	—	1,786	1,850	2,075
	Female	Number of employees	—	—	174	183	218
	Ratio of women	%	—	—	8.9	9.0	9.5
	Total	Number of employees	—	—	1,960	2,033	2,293
	Target		Non-consolidated		Consolidated		Consolidated
Employees by Age Group	Under 30	Number of employees	551	529	4,452	4,622	4,349
	30~39	Number of employees	889	869	6,868	7,136	6,989
	40~49	Number of employees	831	839	5,835	6,144	6,239
	50~59	Number of employees	852	872	5,049	5,414	5,542
	Above 60	Number of employees	233	261	2,054	2,168	2,218
	Total	Number of employees	3,356	3,370	24,258	25,484	25,337
	Target		Non-consolidated		Consolidated		Consolidated
Average Length of Service by Gender	Male	Years	16.6	16.6	14.4	14.5	14.6
	Female	Years	11.9	12.1	10.5	11.2	10.6
	Total	Years	16.1	16.1	14.2	14.1	14.1
	Target		Non-consolidated		Japanese consolidated		Japanese consolidated
	Total	%	2.32	2.36	2.39	2.52	3.04
Employment Ratio of Disabled People	Target		Non-consolidated				Non-consolidated
Percentage of Employees Covered by Collective Bargaining Agreements	Total	%	64.2	60.4	63.4	63.9	63.6
	Target		Non-consolidated				Non-consolidated
Rounds of Collective Bargaining (Labor-Management Consultations) with Labor Unions	Total	Rounds	—	106	74	103	102
	Target		Non-consolidated				Non-consolidated

Employee Data

		Unit	2020	2021	2022	2023	2024
New Graduate Hires	Male	Number of employees	139	119	236	262	258
	Female	Number of employees	22	31	35	46	46
	Ratio of women	%	13.7	20.7	12.9	14.9	15.1
	Total	Number of employees	161	150	271	308	304
	Target	Non-consolidated		Japanese consolidated		Japanese consolidated	
Career Hires	Male	Number of employees	59	90	299	386	413
	Female	Number of employees	7	8	40	52	45
	Ratio of women	%	10.6	8.2	11.8	11.9	9.8
	Total	Number of employees	66	98	339	438	458
	Ratio of Mid-career Hires to Full-time Workers	%	29.1	39.5	55.6	58.7	60.1
	Target	Non-consolidated		Japanese consolidated		Japanese consolidated	
Wage Difference Between Men and Women <sup>*4</sup>	Full-Time Employees	%	—	—	70.6	72.8	71.5
	Non-Full-Time Employees	%	—	—	66.3	69.8	66.7
	All Workers	%	—	—	68.6	70.2	69.6
	Target	—		Non-consolidated		Non-consolidated	
Total Turnover Rate	Male	%	—	2.4	2.7	3.7	3.3
	Female	%	—	4.2	3.1	3.3	4.3
	Total	%	2.4	2.6	2.7	3.7	3.4
	Target	Non-consolidated		Japanese consolidated		Japanese consolidated	
Turnover Rate for Personal Reasons	Male	%	—	2.1	2.0	3.0	2.7
	Female	%	—	3.0	2.3	2.7	3.6
	Total	%	1.6	2.2	2.0	3.0	2.8
	Target	Non-consolidated		Japanese consolidated		Japanese consolidated	
Working Hours Per Year	Total	Hours	1,975	1,987	1,972	1,972	1,930
	Target	Non-consolidated (general employees)		Japanese consolidated (general employees)		Japanese consolidated (general employees)	
Employee Awareness Survey Results	Percentage of Highly Engaged Employees	%	49	—	48	—	48
	Target	SHI and 30 consolidated subsidiaries		—		SHI and 29 consolidated subsidiaries <sup>*5</sup>	
Percentage of Employees Taking Paid Vacations	Total	%	73.6	68.6	75.3	79.0	80.2
	Target	Non-consolidated (general employees)		Japanese consolidated (general employees)		Japanese consolidated (general employees)	
Childcare Leave Utilization Ratio	Male	%	71.4	68.9	69.2	85.5	88.8
	Female	%	100	100	100	97.7	97.7
	Total	%	75.4	72.0	71.9	87.1	90.0
	Target	Non-consolidated		Japanese consolidated		Japanese consolidated	
Average Number of Days of Childcare Leave Taken by Male Employees	Total	Days	11.0	33.3	23.1	30.8	35.6
	Target	Non-consolidated		Japanese consolidated		Japanese consolidated	
	Male	Number of employees	—	—	7	7	10
Employees Utilizing Shortened Working Hours for Employees with Childcare Needs	Female	Number of employees	—	—	82	89	83
	Total	Number of employees	—	—	89	96	93
	Target	—		Japanese consolidated		Japanese consolidated	
	Total	Hours	—	4.2	11.1	10.9	28.6
Annual Training Hours Per Employee <sup>*6</sup>	Target	—		Japanese consolidated		Japanese consolidated	
	Total	Yen	49,230	79,228	53,222	69,305	75,532
Annual Training Expenses Per Employee	Target	Non-consolidated		Japanese consolidated		Japanese consolidated	

<sup>\*4</sup> Female wages as a % of male wages   <sup>\*5</sup> Consolidated coverage is approximately 54.7% (based on headcount)  
<sup>\*6</sup> Data for FY2023 covers only training programs organized by the headquarters. From FY2024, programs by business divisions are also included.

Occupational Health and Safety / Health Management

		Unit	2020	2021	2022	2023	2024
Fatalities	Total	Number of employees	0	0	0	0	0
	Target	Non-consolidated		Consolidated		Consolidated	
Occupational Accident Frequency Rate (employees)	Frequency rate	%	0.0	0.3	0.4	0.7	0.9
	Target	Non-consolidated		Non-consolidated		Non-consolidated	
Occupational Accident Frequency Rate (employees and contract workers)	Frequency rate	%	0.2	0.6	0.5	0.7	0.8
	Target	Non-consolidated		Non-consolidated		Non-consolidated	
Percentage of Companies with ISO 45001 Certification	Total	%	33.3	50.0	66.6	66.6	83.3
	Target	Domestic Main Works		Domestic Main Works		Domestic Main Works	
Percentage of Smokers	Total	%	27.7	26.6	23.1	23.4	25.0
	Target	Non-consolidated		Non-consolidated		Non-consolidated	

Social Contribution Activities

		Unit	2020	2021	2022	2023	2024
Social Contribution Expenditures	Total	million yen	301	33	26	156	267
	Target	Non-consolidated		Non-consolidated		Japanese consolidated and eight overseas affiliates	

Supply Chain Management

		Unit	2020	2021	2022	2023	2024
Supplier Status by Region (procurement amount ratio)	Japan	%	72.7	68.9	62.2	67.3	64.7
	China	%	10.9	12.1	12.0	9.3	10.6
	South Korea	%	2.5	2.4	2.4	2.7	2.7
	Taiwan	%	0.2	0.3	0.3	0.4	0.2
	Asia, etc.	%	4.7	6.3	8.3	7.1	8.1
	North America and Latin America	%	3.5	3.4	6.9	5.4	6.9
	Europe	%	5.5	6.6	7.7	7.7	6.6
Suppliers	Other Areas	%	0.0	0.0	0.0	0.1	0.1
	Number of Tier 1 Suppliers	Companies	7,537	7,481	6,230	5,658	5,825
Supplier Survey	Number of surveyed companies <sup>*7</sup>	Companies	—	—	—	—	702
	Number of responding companies <sup>*8</sup>	Companies	288	409	—	—	573
	Response rate	%	—	—	—	—	81.6
% of Purchasing Division Employees Who Received Sustainable Procurement Training	Target	%	—	—	9.5	89.8	85.0
	SHI and 9 consolidated subsidiaries	SHI and 16 consolidated subsidiaries	SHI and 16 consolidated subsidiaries	SHI and 16 consolidated subsidiaries	SHI and 16 consolidated subsidiaries	SHI and 16 consolidated subsidiaries	SHI and 16 consolidated subsidiaries

<sup>\*7</sup> Number of Tier 1 suppliers accounting for the top 80% of the SHI Group's total procurement value   <sup>\*8</sup> Conducted for non-substitutable suppliers in 2019 and 2021

Product Safety and Product Quality

		Unit	2020	2021	2022	2023	2024
ISO 9001 Certification Acquisition Rate of Major Production and Business Divisions		%	—	—	93	94	96
Number of Serious Legal Violations Related to Product Safety		Instances	0	0	0	0	0

Governance

Corporate Governance

		Unit	2020	2021	2022	2023	2024	2025
Composition of Directors <sup>*9</sup>	Total Number	Number of directors	10	9	9	11	11	11
	Executive Directors	Number of directors	7	6	6	7	7	7
	Non-executive Directors	Number of directors	3	3	3	4	4	4
	Outside Directors	Number of directors	3	3	3	4	4	4
	Female Directors	Number of directors	0	0	0	1	2	2
	Non-Japanese Directors	Number of directors	0	0	0	0	0	0
Average Attendance Rate at Board of Directors Meetings	Average	%	99.2	98.5	96.6	100	99.4	—
Average Tenure of Directors <sup>*9</sup>	Average	Years	4.0	4.7	3.8	3.7	3.9	4.9
Composition of Corporate Auditors <sup>*9</sup>	Total Number	Number of directors	4	4	4	4	4	5
	Outside Corporate Auditors	Number of directors	2	2	2	2	2	3
	Female Corporate Auditors	Number of directors	0	1	1	1	1	1
	Non-Japanese Corporate Auditors	Number of directors	0	0	0	0	0	0
Average Attendance Rate at Board of Corporate Auditors Meetings	Average	%	—	100	100	100	100	—

<sup>\*9</sup> Information that was current as of the end of the General Meeting of Shareholders for each year is shown

Business Ethics

		Unit	2020	2021	2022	2023	2024
Compliance Education by E-learning <sup>*10</sup>	Total	Number of directors	14,599	14,489	—	12,503	13,310
	Implementation Rate (% of all employees who have completed the program)	%	60.7	58.9	—	49.1	52.5
Employees Who Submitted the Compliance Pledge <sup>*11</sup>	Total	Number of directors	2,852	3,217	3,217	3,392	3,460
	Submission Rate (% of all employees who submitted the pledge)	%	11.9	13.1	13.3	13.3	13.7
Number of Cases Reported to Ethics Hotline	Total	Instances	143	156	136	349	253
	Break-down	Harassment	50	71	61	151	116
		HR and Labor	50	38	41	131	48
		Violations of Company Regulations and Rules	17	13	14	16	15
		Other matters	26	34	20	51	74
	Cases for Which Corrections Were Made	Instances	59	71	41	114	95
Number of significant compliance violations <sup>*12</sup>	Total	Instances	—	—	—	—	1 <sup>*12</sup>
Amount of Political Donations	Total	¥ million	1.5	1.5	1.5	1.5	1.7
	Target	Non-consolidated		Non-consolidated		Non-consolidated	

<sup>\*10</sup> Employees in Japan are eligible to take the course   <sup>\*11</sup> Employees in management positions in Japan are eligible to submit the application  
<sup>\*12</sup> Violations of the Subcontract Act by affiliated companies



Corporate Data

(As of December 31, 2024)

Corporate Data

Company Name	Sumitomo Heavy Industries, Ltd.
Head Office	1-1, Osaki 2-chome, Shinagawa-ku, Tokyo 141-6025, Japan
TEL	+81-3-6737-2000
URL	https://www.shi.co.jp/english/index.html
Founded	1888
Incorporated	November 1, 1934
Paid-In Capital	¥30,871,651,300

Domestic Offices

Chubu Office	10-24, Higashi-sakura 1-chome, Higashi-ku, Nagoya-shi, Aichi 461-0005, Japan	Tel: 81-52-971-3063
Kansai Office	3-33, Nakanoshima 2-chome, Kita-ku, Osaka-shi, Osaka 530-0005, Japan	Tel: 81-6-7635-3610
Kyushu Office	8-30, Tenyamachi, Hakata-ku, Fukuoka-shi, Fukuoka 812-0025, Japan	Tel: 81-92-283-1670
Tanashi Works	1-1, Yato-cho 2-chome, Nishitokyo-shi, Tokyo 188-8585, Japan	Tel: 81-42-468-4104
Chiba Works	731-1, Naganumahara-machi, Inage-ku, Chiba-shi, Chiba 263-0001, Japan	Tel: 81-43-420-1351
Yokosuka Works	19, Natsushima-cho, Yokosuka-shi, Kanagawa 237-8555, Japan	Tel: 81-46-869-1842
Nagoya Works	1, Asahi-machi 6-chome, Obu-shi, Aichi 474-8501, Japan	Tel: 81-562-48-5111
Okayama Works	8230, Tamashima-Otoshima, Kurashiki-shi, Okayama 713-8501, Japan	Tel: 81-86-525-6101
Ehime Works — Niihama Factory	5-2, Sobiraki-cho, Niihama-shi, Ehime 792-8588, Japan	Tel: 81-897-32-6211
Ehime Works — Saijo Factory	1501, Imzaike, Saijo-shi, Ehime 799-1393, Japan	Tel: 81-898-64-4811
Technology Research Center	19, Natsushima-cho, Yokosuka-shi, Kanagawa 237-8555, Japan	Tel: 81-46-869-2300

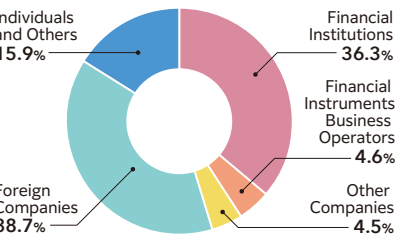
Stock-Related Information

(As of December 31, 2024)

Stock Information

Total Number of Authorized Shares	360,000,000
Shares Outstanding	122,905,481
Number of Shareholders	35,340

Distribution of Shareholders



Note: The number of shares and the shareholding ratio are rounded to the nearest display unit

Major Shareholders

Major Shareholders	Number of shares held (thousands of shares)	Ownership ratio (%)
The Master Trust Bank of Japan, Ltd. (Trust account)	21,071	17.5
Custody Bank of Japan, Ltd. (Trust account)	9,322	7.8
NORTHERN TRUST CO. (AVFC) RE SILCHESTER INTERNATIONAL INVESTORS INTERNATIONAL VALUE EQUITY TRUST	7,478	6.2
Sumitomo Life Insurance Company	4,333	3.6
NORTHERN TRUST CO. (AVFC) RE U.S. TAX EXEMPTED PENSION FUNDS	3,883	3.2
BNYMSANV AS AGENT/CLIENTS LUX UCITS NON TREATY 1	3,769	3.1
Sumitomo Heavy Industries, Ltd. Kyoeikai	3,362	2.8
NORTHERN TRUST CO. (AVFC) RE NON TREATY CLIENTS ACCOUNT	2,943	2.4
STATE STREET BANK AND TRUST COMPANY 505001	2,401	2.0
STATE STREET BANK AND TRUST COMPANY 505103	2,134	1.8

Note: Ownership ratios are calculated less treasury stock (2,659,762 shares). The Company's name is listed as the shareholder of record for treasury stock, but this figure includes 200 shares that the Company in effect does not own.

Share Price Chart



Total Shareholder Return (TSR)

Investment Period	1 Year	3 Years	5 Years	10 Years
	December 2023 ~ December 2024	March 2022 ~ December 2024	March 2020 ~ December 2024	March 2015 ~ December 2024
Sumitomo Heavy Industries	-5.2%	27.0%	92.7%	6.9%
TOPIX	20.5%	52.5%	121.0%	125.1%
TOPIX Sector Index (Machinery)	22.8%	57.4%	142.3%	135.1%

Note: The Company changed its fiscal year-end from March 31 to December 31 starting with the 127th fiscal year (fiscal year ended December 2022). Figures for fiscal years prior to the fiscal year ended March 2022 are based on the share price as of the end of March.

Stock Valuation

	Unit	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
Stock price at end of term	(Yen)	3,075	2,818	2,644	3,555	3,245
PBR	(Times)	0.8	0.6	0.6	0.7	0.6
ROE	(%)	5.6	8.5	1.0	5.5	1.3
PER	(Times)	14.1	7.8	56.0	13.3	50.8
Dividend yield	(%)	2.1	4.1	3.4	3.4	3.9
Market capitalization	(Billions of yen)	376.7	345.2	324.1	435.7	390.2

Note: Market capitalization is calculated based on the number of shares issued, excluding treasury shares. For fiscal years up to and including FY2021, the fiscal year-end was March 31.

Recognition from External Organizations

(As of end of June 2025)



FTSE Blossom Japan Index

FTSE Blossom Japan Index



FTSE Blossom Japan Sector Relative Index

FTSE Blossom Japan Sector Relative Index

2025 CONSTITUENT MSCI日本株 ESGセレクト・リーダーズ指数

2025 CONSTITUENT MSCI JAPAN ESG Select Leaders Index



S&P/JPX Carbon Efficient Index



2025 SOMPO Sustainability Index



EcoVadis



CDP



Platinum Kurumin Certification awarded by MHLW



Excellent Enterprise of Health and Productivity anagement 2025



PRIDE Index Gold Award



DX Certification System established by METI [DX Certification]



NIKKEI Sustainable Management Survey - SDGs Edition



NIKKEI Sustainable Management Survey - Smart Work Edition



Sumitomo Heavy Industries, Ltd.