



November 16, 2017

To Whom It May Concern:

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Notice Regarding Revision to Full-Year Financial Forecast and Posting of an Extraordinary Loss

This is to notify that the full-year financial forecast (for the period commencing April 1, 2017 and ending March 31, 2018) that was published by Sumitomo Heavy Industries, Ltd. (hereinafter referred to as “SHI” or the “Company”) on October 31, 2017 has been revised as per the following summary.

DETAILS

1. Revisions to the Consolidated Full-Year Financial Forecast for the Period Ending March 2018 (April 1, 2017 to March 31, 2018)

(Rounded down to the nearest JPY million)

	Sales	Operating Income	Ordinary Income	Net Income Attributable to the parent company	Net Income per Share
Previously published forecast (A)	JPY millions 780,000	JPY millions 60,000	JPY millions 59,000	JPY millions 39,000	JPY 318.24
Current forecast (B)	780,000	60,000	59,000	28,000	228.48
Amount increase or decrease (B-A)	—	—	—	(11,000)	—
Percent increase or decrease (%)	—	—	—	(28.2)	—
(Reference) Previous year performance (period ended March 2017)	674,328	48,431	48,274	33,613	274.24

(Note) A 5-to-1 reverse stock split for common shares was carried out on October 1, 2017. As such, the quarterly net income per share figures in the above table are shown on a provisional basis as if the reverse stock split was carried out at the beginning of the fiscal year.

(Reason for the Revision to the Full-Year Financial Forecast)

As disclosed in the “Notice Regarding Decision to Accept the Settlement Recommendation in the Lawsuit” released today, a settlement with the City of Kyoto is expected to be reached. With this in

mind, the Company has decided to post an extraordinary loss comprising of the projected settlement amount and related expenses. As a result, the forecast for net income attributable to the parent company has been revised downwards.

2. Posting of an Extraordinary Loss

SHI expects to post an extraordinary loss in the amount estimated to be JPY14.5 billion comprising of the aforementioned projected settlement amount and related expenses.

(Note) The above projections are based on information available as of the date that this information has been published. Actual results may materially differ from the projected figures due to a variety of factors that may happen in the future.

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