# Sumitomo Heavy Industries Ethics Code

Amendment: October 1, 2019 Enactment: June 8, 1998 Effective date: July 1, 1998

All officers and employees of SHI shall fully understand Sumitomo Heavy Industries Ethics Code, comply with relevant laws as members of society and engage in busines activities in a fair manner as business people with common sense.

## 1. Respect for human beings

We respect the personality and human rights of every person.

## 2. Compliance with laws and regulations

We comply with the laws and internal and external rules and regulations having the respect for their spirits.

### 3. Fair competition and trade

We engage in business activities based on fair and free competition, and do not pursue profits by unfair means or opaque practices.

## 4. Severance of relationships with anti-social forces

We take an uncompromising stance toward any group or individual that poses a threat to the order or safety of civil society, and do not engage in any relationship with them.

## 5. Environmental conservation

We strive to conserve the global environment by promoting resource and energy conservation, waste reduction and recycling as well as prevention of environmental pollution.

## 6. Respect for fair international business and different cultures

In international business, we respect local culture and customs as well as comply with international rules and local laws of each country.

(Amendment) Any amendment to this code shall be discussed by the Compliance Committee and approved by the President.

Amendment record April 1, 2007 October 1, 2019