

(Translation)

[Cover]

Document to be filed:	Extraordinary Report
Filing to:	Director-General of the Kanto Local Finance Bureau
Date of filing:	July 4, 2017
Company name (Japanese):	住友重機械工業株式会社 (<i>Sumitomo Jukikai Kogyo Kabushiki Kaisha</i>)
Company name (English):	Sumitomo Heavy Industries, Ltd.
Title and name of representative:	Shunsuke Betsukawa, Representative Director, President and CEO
Location of head office:	ThinkPark Tower, 1-1, Osaki 2-chome, Shinagawa-ku, Tokyo, Japan
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Places where the document to be filed is available for public inspection:	Tokyo Stock Exchange, Inc. (2-1, Nihombashi-kabutocho, Chuo-ku, Tokyo, Japan)

1. Reason for filing

Following the resolutions of the matters passed at the 121st Ordinary General Meeting of Shareholders of Sumitomo Heavy Industries, Ltd. (the “Company”), which was held on June 29, 2017, this extraordinary report is filed pursuant to the provisions of Article 24-5, paragraph (4), of the Financial Instruments and Exchange Act and Article 19, paragraph (2), Item (9-2), of the Cabinet Office Ordinance Concerning Disclosure of Corporate Affairs, etc.

2. Content of report

(1) Date on which the General Meeting of Shareholders was held

June 29, 2017

(2) Details of the matters resolved

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| Agenda Item 1 | Disposition of Retained Earnings
Year-end dividend:
¥9.00 per share of the Company’s common stock |
| Agenda Item 2 | Consolidation of Shares
A call for consolidation of five shares into one share of the Company’s common stock and reduction of the total number of authorized shares from 1.8 billion shares to 360 million shares with the effective date being October 1, 2017 |
| Agenda Item 3 | Election of Ten Directors
A call for the election of Yoshinobu Nakamura, Shunsuke Betsukawa, Shinji Nishimura, Yoshiyuki Tomita, Toshiharu Tanaka, Eiji Kojima, Mikio Ide, Shinji Shimomura, Susumu Takahashi and Hideo Kojima as Directors |
| Agenda Item 4 | Election of One Corporate Auditor
A call for the election of Masaichi Nakamura as Corporate Auditor |
| Agenda Item 5 | Election of One Alternative Outside Corporate Auditor
A call for the election of Tomoyuki Kato as Alternative Outside Corporate Auditor |

(3) Number of voting rights exercised as affirmative, negative or abstention for each agenda item, conditions of approval of agenda items and the results of the resolutions

Agenda Item	Number of affirmative votes	Number of negative votes	Number of abstentions	Ratio of affirmative votes	Approved/disapproved
Agenda Item 1	490, 552	1, 559	1, 327	99. 34%	Approved
Agenda Item 2	491, 740	370	1, 334	99. 58%	Approved
Agenda Item 3					
Yoshinobu Nakamura	455, 113	34, 888	3, 418	92. 16%	Approved
Shunsuke Betsukawa	476, 458	13, 547	3, 418	96. 49%	Approved
Shinji Nishimura	476, 021	16, 067	1, 334	96. 40%	Approved
Yoshiyuki Tomita	481, 341	10, 747	1, 334	97. 47%	Approved
Toshiharu Tanaka	480, 910	11, 178	1, 334	97. 39%	Approved
Eiji Kojima	480, 783	11, 305	1, 334	97. 36%	Approved
Mikio Ide	481, 250	10, 838	1, 334	97. 46%	Approved
Shinji Shimomura	481, 263	10, 825	1, 334	97. 46%	Approved
Susumu Takahashi	472, 311	19, 775	1, 334	95. 65%	Approved
Hideo Kojima	487, 334	4, 754	1, 334	98. 69%	Approved
Agenda Item 4					
Masaichi Nakamura	491, 911	208	1, 327	99. 61%	Approved
Agenda Item 5					
Tomoyuki Kato	491, 747	372	1, 327	99. 58%	Approved

Note: Conditions of approval of agenda items:

- Agenda Item 1 requires that a majority of the voting rights exercised by the shareholders who attend the General Meeting of Shareholders are affirmative.
- Agenda Item 2 requires that (i) the number of total voting rights owned by the shareholders who attend the General Meeting of Shareholders equals or exceeds one-third of the voting rights owned by shareholders eligible for exercising their voting rights, and (ii) two-thirds or more of voting rights exercised by the shareholders who attend the General Meeting of Shareholders are affirmative.
- Agenda Items 3, 4 and 5 require that (i) the number of total voting rights owned by the shareholders who attend the General Meeting of Shareholders equals or exceeds one-third of the voting rights owned by shareholders eligible for exercising their voting rights, and (ii) the majority of voting rights exercised by the shareholders who attend the General Meeting of Shareholders are affirmative.

(4) Reason why some of the voting rights exercised by the shareholders who attended the General Meeting of Shareholders were not added to the calculation

The resolutions were legally passed pursuant to the Companies Act because the conditions of approval of the agenda items were satisfied when the voting results of the shareholders who attended the Meeting were confirmed affirmative, negative or abstentions by the Company, in addition to the voting results of the shareholders who exercised their voting rights prior to the Meeting. Therefore, the numbers of affirmative votes, negative votes and abstentions do not include the number of voting rights exercised by the shareholders who attended the Meeting which were not confirmed as any one of these three.